

**APPENDIX A.4****Complaints supplying *American Pipe* tolling**

Case	Class Definition	Defendants	Claims
Class Action Complaint, <i>FTC Capital GMBH v. Credit Suisse Grp. AG</i> , No. 11-2613 (S.D.N.Y. filed Apr. 15, 2011) <sup>1</sup>	“[A]ll persons, corporations and other legal entities . . . that transacted in Libor-based derivatives during [2006 and June 2009].”	Credit Suisse Group AG; Bank of American Corp; J.P. Morgan Chase & Co.; HSBC Holdings PLC; Barclays Bank PLC; Lloyds Banking Group PLC; WestLB AG; UBS AG; Royal Bank of Scotland Group PLC; Deutsche Bank AG; The Norinchukin Bank; Citbank NA	Commodities Exchange Act; Sherman Act; Unjust Enrichment and Restitution
Complaint, <i>Carpenters Pension Fund of West Va.</i> , No. 11-2883 (S.D.N.Y. filed Apr. 27, 2011)	“[A]ll customers of Defendants who invested in a LIBOR-based product sold by Defendants between 2006 and 2009 and were paid less than they would have been paid had Defendants not conspired to depress LIBOR through their manipulative behavior.”	Bank of American Corp.; Citibank, N.A.; UBS AG	Sherman Act; Unjust Enrichment and Restitution
Complaint, <i>City of Dania Beach Police &amp; Firefighters' Ret. Sys.</i> , No. 11-3128 (S.D.N.Y. filed May 9, 2011)	“[A]ll customers of Defendants who invested in a LIBOR-based product sold by Defendants between 2006 and 2009 and were paid less than they would have been paid had Defendants not conspired to depress LIBOR through their manipulative behavior.”	Bank of America Corp., Citibank, N.A.; UBS AG; Does 1-10	Sherman Act; Unjust Enrichment and Restitution

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<sup>1</sup> An amended complaint was filed on April 30, 2012, which amended the class definition to exclude NCUA's claims, thereby ending tolling for this complaint.

Case	Class Definition	Defendants	Claims
<i>Complaint, Ravan Investments, LLC v. Bank of America Corp.</i> , No. 11-3249 (S.D.N.Y. filed May 13, 2011)	“All persons, corporations and other legal entities . . . that, during the period from at least 2006 through 2009 . . . (a) purchased directly from Defendants Libor-based financial instruments whose values increase as Libor decreases; (b) sold directly to Defendants Libor-based derivatives whose values decline as Libor decreases; (c) received payments directly from Defendants which decrease as Libor decreases and/or increase as Libor increases; or (d) purchased Libor-based derivatives whose values increase as Libor decreases, or sold Libor-based derivatives whose values decline as Libor decreases.”	Bank of America Corp.; Credit Suisse Group AG; J.P. Morgan Chase & Co.; HSBC Holdings PLC; Barclays Bank PLC; Lloyds Banking Group PLC; WestLB AG; UBS AG; Royal Bank of Scotland Group PLC; Detusche Bank AG; Citibank NA	Sherman Act; Commodities Exchange Act
<i>Complaint, Insulators &amp; Asbestos Workers Local #14 v. Bank of America Corp.</i> , No. 11-3781 (S.D.N.Y. filed June 3, 2011)	“All persons (excluding governmental entities . . .) who at any time during the period from January 1, 2006 through December 31, 2009 purchased or sold LIBOR-based financial products.”	Bank of America Corp.; Barclays Bank PLC; Citibank NA; Credit Suisse Group AG; Deutsche Bank AG; HSBC Holdings PLC; J.P. Morgan Chase & Co.; Lloyds Banking Group PLC; The Royal Bank of Scotland Group PLC; UBS AG; WestLB AG; The Norinchukin Bank	Sherman Act; Commodities Exchange Act
<i>Class Action Complaint, Mayor and City Council of Baltimore v. Bank of America Corp.</i> , No. 11-5450 (S.D.N.Y. filed Aug. 5, 2011)	“All persons or entities . . . who purchased LIBOR-Based Derivatives directly from Defendants, including their subsidiaries and/or affiliates, from August 1, 2007 through such time as the effects of Defendants’ illegal conduct ceased.”	Bank of America Corp.; Barclays Bank PLC; Citibank NA; HSBC Holdings PLC; J.P. Morgan Chase & Co.; Lloyds Banking Group PLC; UBS AG; WestLB AG	Sherman Act; Unjust Enrichment and Restitution
<i>Complaint, 33-35 Green Pond Road Associates, LLC v. Bank of America Corp.</i> , No. 12-5822 (S.D.N.Y. filed July 30, 2012)	“All persons or entities . . . who purchased U.S. dollar LIBOR-Based Derivatives from the following non-Defendant commercial banks and insurance companies in the United States [excluded] based directly on the rates set by Defendants, form at least as early as August 1, 2007 through such time as the effects of Defendants’ illegal conduct ceased.”	Bank of America Corp.; Barclays Bank PLC; Citibank NA; Centrale Raiffeisen-Berenleenbank B.A.; Credit Suisse Group AG; Deutsche Bank AG; HSBC Holdings PLC; J.P. Morgan Chase & Co.; Lloyds Banking Group PLC; The Norinchukin Bank; The Royal Bank of Canada; The Royal Bank of Scotland Group PLC; Tokyo Mitsubishi UFJ; UBS AG; WestLB AG	Sherman Act

Case	Class Definition	Defendants	Claims
<i>Consolidated Amended Complaint, Mayor and City Council of Baltimore v. Credit Suisse Group AG</i> , No. 11-2262 (S.D.N.Y. filed Apr. 30, 2012)	“All persons or entities . . . that purchased in the United States, directly from a Defendant, a financial instrument that paid interest indexed to LIBOR . . . any time during the period August 2007 through May 2010.”	Credit Suisse Group AG; Bank of America Corp.; Bank of America, N.A.; JP Morgan Chase & Co.; JPMorgan Chase Bank, National Association; HSBC Holdings PLC; HSBC Bank PLC; Barclays Bank PLC; Lloyds Banking Group PLC; WestLB AG; Westdeutsche Immobilienbank AG; UBS AG; The Royal Bank of Scotland Group PLC; Deutsche Bank AG; Citibank NA; Citigroup Inc.; Cooperatieve Centrale Raiffeisen Boerenleenbank B.A.; The Norinchukin Bank; The Bank of Tokyo Mitsubishi UFJ, Ltd.; HBOS PLC; Royal Bank of Canada	Sherman Act; Unjust Enrichment and Restitution
<i>First Amended Class Action Complaint, Gelboim v. Credit Suisse Group AG</i> , No. 12-1025 (S.D.N.Y. filed Apr. 30, 2012)	“[A]ll . . . who owned . . . any U.S. dollar-denominated debt security (a) that was assigned a unique identification number by the CUSIP system; (b) on which interest was payable at any time between August 2007 and May 2010 . . . ; and (c) where that interest was payable at a rate expressly linked to the U.S. Dollar Libor rate.”	Credit Suisse Group AG; Bank of America Corp.; Bank of America, N.A.; JP Morgan Chase & Co.; JPMorgan Chase Bank, National Association; HSBC Holdings PLC; HSBC Bank PLC; Barclays Bank PLC; Lloyds Banking Group PLC; WestLB AG; Westdeutsche Immobilienbank AG; UBS AG; The Royal Bank of Scotland Group PLC; Deutsche Bank AG; Citibank NA; Citigroup Inc.; Cooperatieve Centrale Raiffeisen-Boerenleenbank B.A.; The Norinchukin Bank; the Bank of Tokyo-Mitsubishi UFJ, Ltd.; Royal Bank of Canada	Sherman Act
<i>Second Consolidated Amended Complaint, Mayor and City Council of Baltimore v. Credit Suisse Group AG</i> , No. 11-2262 (S.D.N.Y. filed Sept. 10, 2013)	“All persons or entities . . . that purchased in the United States, directly from a Defendant (or a Defendant’s subsidiaries or affiliates), a financial instrument that paid interest indexed to LIBOR . . . any time during the period August 2007 through May 2010.”	Credit Suisse Group AG; Credit Suisse International; Bank of America Corp.; Bank of America, N.A.; JP Morgan Chase & Co.; JPMorgan Chase Bank, National Association; HSBC Holdings PLC; HSBC Bank PLC; Barclays Bank PLC; Lloyds Banking Group PLC; WestLB AG; Westdeutsche Immobilienbank AG; UBS AG; The Royal Bank of Scotland Group PLC; Deutsche Bank AG; Citibank NA; Citigroup Inc.; Cooperatieve Centrale Raiffeisen Boerenleenbank B.A.; The Norinchukin Bank; The Bank of Tokyo Mitsubishi UFJ, Ltd.; HBOS PLC; Societe Generale S.A.; Royal Bank of Canada	Sherman Act; Breach of Contract and Implied Covenant of Good Faith and Fair Dealing; Unjust Enrichment

Case	Class Definition	Defendants	Claims
Complaint, <i>Community Bank &amp; Trust v. Bank of America Corporation, et al.</i> No. 1:12-cv-4205 (S.D.N.Y. filed May 25, 2012)	"All Community Banks who issued loans in which the interest rates were tied to the USD LIBOR rate during the Relevant Period [between August 2006 and May 2010], and who suffered losses as a result."	Bank of America Corporation; Bank of America, N.A.; Citigroup, Inc.; Citibank, N.A.; JPMorgan & Co.; and JPMorgan Chase Bank, National Association	Federal and state RICO claims